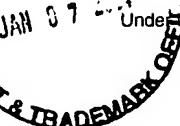


1624

PTO/SB/21 (08-03)

image

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## TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

Total Number of Pages in This Submission		Attorney Docket Number	100507-1
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<b>ENCLOSURES</b> <i>(Check all that apply)</i>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please Identify below): <b>Notice of Co-Pending Applications; PTO 1449A and PTO 1449B; References</b>
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	George Gilbert; AstraZeneca Pharmaceuticals
Signature	
Date	12-31-03

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Typed or printed name	Glenn Ellis
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AstraZeneca Docket No. 100507-1

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

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Application of: **PIERSON, et al.**  
Application Number: **10/051,776**  
Filed: **January 16, 2002**

Group Art Unit: **1624**  
Examiner: **Patel, Sudhaker B**

For: **THERAPEUTIC HETEROCYCLIC COMPOUNDS**

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Assistant Commissioner For Patents  
Box Issue Fee  
Washington, DC 20231

**Information Disclosure Statement**

Sir:

Applicants submit herewith a list of information that Applicants believe may be material to the patentability of claims of the above-identified application and for which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. Applicants have attached a notice of co-pending applications as well as a Form 1449. Applicants have also enclosed copies of co-pending applications as well as references.

In accordance with 37 C.F.R. §§ 1.97 (g) and (h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that the information cited is material to patentability as defined in 37 C.F.R. § 1.56.

This Information Disclosure Statement is being filed before the mailing date of a first office action on the merits pursuant to 37 C.F.R. § 1.97(b)(3), and therefore no fee is required pursuant to 37 C.F.R. § 1.17(p) for consideration of this information.

December 31, 2003

Respectfully Submitted

By \_\_\_\_\_

George A. Gilbert

Attorney for Applicant

Reg. No. 35,881

Telephone: 302/886-3887

**Notice of Co-pending Applications**

Sir:

Applicants submit herewith a list of co-pending applications pursuant to MPEP § 2001.06:

- US 10/466,449 filed 07/16/2003
- US 10/466,565 filed 07/16/2003
- US 10/466,540 filed 07/16/2003



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**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet

1

1

***Complete if Known***

Application Number	10/051,776
Filing Date	01/16/2002
First Named Inventor	Edward Pierson
Art Unit	1624
Examiner Name	
Attorney Docket Number	100507-1 US

## **U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		WO 9109853	07/11/1991	Aktiebolaget Astra		
		EP 0546389	06/16/1993	Bayer AG		
		GB 2177084	01/14/1987	Imperial Chemical Industries		

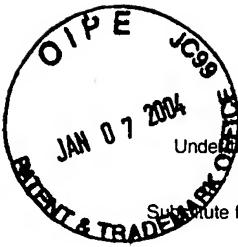
**Examiner  
Signature**

**Date Considered**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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(Use as many sheets as necessary)

Sheet	1	of	1	Attorney Docket Number	100507-1 US
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NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		PCT Written Opinion PCT/SE02/00070			
		PCT Written Opinion PCT/SE02/00069			
		PCT Written Opinion PCT/SE02/00068			
		International Search Report for International Application No. PCT/SE02/00070			
		International Search Report for International Application No. PCT/SE02/00069			
		International Search Report for International Application No. PCT/SE02/00068			

Examiner  
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Date  
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